

Inelastic X-Ray Scattering



NOTE
Preliminary Version of Slides - Some will be Changed/Updated.

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SPRING-8

Scope & Outline

Main Goal:

Introduce Capabilities & Put them in Context
What properties can be measured?
Why consider these techniques?

Outline:

Introduction
Instrumentation
Non-Resonant Techniques
Resonant Techniques (Briefly)

Huge & Complex Topic - Appropriate for a semester, not an hour...

Comment: Notes...

Table Of IXS Techniques/Applications

Technique	Comment	Energy Scale	Information
X-Ray Raman	(E)XAFS in Special Cases	$E_{in} \sim 10$ keV $\Delta E \sim 100-1000$ eV	Edge Structure, Bonding
Compton	Oldest Note: Resolution Limited	$E_{in} \sim 150$ keV $\Delta E \sim$ keV	Electron Momentum Density Fermi Surface Shape
Magnetic Compton	Weak But Possible	$E_{in} \sim 150$ keV $\Delta E \sim$ keV	Density of Unpaired Spins
RIXS Resonant IXS	High Rate Somewhat Complicated	$E_{in} \sim 4-15$ keV $\Delta E \sim 1-50$ eV	Electronic Structure
NRIXS Non-Resonant IXS	Low Rate Simpler	$E_{in} \sim 10$ keV $\Delta E \sim <1-50$ eV	Electronic Structure
IXS High-Resolution IXS	Large Instrument	$E_{in} \sim 16-26$ keV $\Delta E \sim 1-100$ meV	Phonon Dispersion
NIS Nuclear IXS	Atom Specific Via Mossbauer Nuclei	$E_{in} \sim 14-25$ keV $\Delta E \sim 1-100$ meV	Element Specific Phonon Density of States (DOS)

Note: ΔE = Typical Energy Transfer (Not Resolution)

Note also: Limit to FAST dynamics (~ 10 ps or faster)

Some References

Shulke, W. (2007), Electron Dynamics by Inelastic X-Ray Scattering.
New York: Oxford University Press.

& References therein

Squires, G. L. (1978). Introduction to the Theory of Thermal Neutron Scattering.
New York: Dover Publications, Inc.

van Hove, L. (1954). Phys. Rev. 95, 249-262.

Born, M. & Huang, K. (1954). Dynamical Theory of Crystal Lattices.
Oxford: Clarendon press.

Bruesch, P. (1982). Phonons: Theory and Experiments, Springer-Verlag.

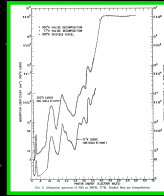
Cooper, M.J. (1985). Rep. Prog. Phys. **48** 415-481

Spectroscopy Absorption vs. Scattering

Absorption Spectroscopy

Optical, IR, NMR

Measure absorption as you scan the incident energy.
When energy hits a resonance, or exceeds a gap, or... get a change

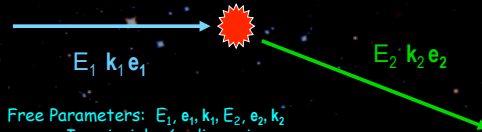


Optical Spect. NiO
Newman, PR 1959

Free Parameters: E_1, e_1, k_1
→ In principle, 3+ dimensions
but in practice mostly 1 (E_1)

Scattering Spectroscopy

IXS, Raman, INS



Free Parameters: $E_1, e_1, k_1, E_2, e_2, k_2$
→ In principle, 6+ dimensions
in practice, mostly 4: $E_1-E_2, Q = k_2-k_1$

Scattering is much more complex, but gives more information.

X-Ray Scattering Diagram



Two Main Quantities:

Energy Transfer

$$E \text{ or } \Delta E = E_1 - E_2 \equiv \hbar\omega$$

Momentum Transfer

$$\mathbf{Q} = \mathbf{k}_2 - \mathbf{k}_1$$

$$Q = |\mathbf{Q}| \approx \frac{4\pi}{\lambda_1} \sin\left(\frac{\Theta}{2}\right)$$

Periodicity Probed

$$d = \frac{2\pi}{|\mathbf{Q}|}$$

Note: For Resonant Scattering
 E_1 and E_2 and Poln.
Are also important

Dynamic Structure Factor

It is convenient, especially for non-resonant scattering, to separate the properties of the material and the properties of the interaction of the photon with the material (electron)

$$I_{\text{scattered}}(\mathbf{Q}, \omega) \propto \frac{d^2\sigma}{d\Omega d\omega} = r_e^2 \left(e_2^* \cdot e_1 \right)^2 \frac{\omega_2}{\omega_1} S(\mathbf{Q}, \omega)$$

$$\sigma_{\text{Thomson}} = r_e^2 \left(e_2^* \cdot e_1 \right)^2$$

$$S(\mathbf{Q}, \omega)$$

Thomson Scattering
Cross Section
"A Scale Factor"

Dynamic Structure Factor
"The Science"

Different Views of $S(\mathbf{Q}, \omega)$

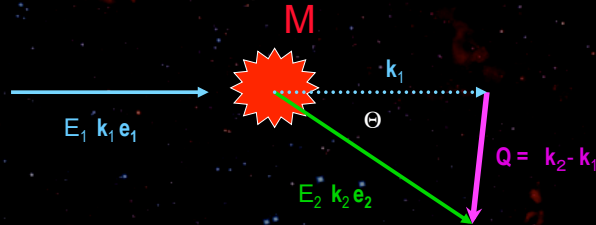
$$S(\mathbf{Q}, \omega) = \sum_{\lambda, \lambda'} p_{\lambda} \left\langle \lambda' \left| \sum_{\text{electrons } j} e^{i\mathbf{Q} \cdot \mathbf{r}_j} \right| \lambda \right\rangle \delta(E_{\lambda'} - E_{\lambda} - \hbar\omega)$$

$$= \frac{1}{2\pi\hbar} \int dt \int d^3r \int d^3r' e^{-i\mathbf{Q} \cdot \mathbf{r}} \langle \rho(\mathbf{r}', t=0) \rho^*(\mathbf{r} + \mathbf{r}', t) \rangle \rightarrow N \sum_{\mathbf{q}} \sum_{\text{Modes}} \left| \sum_d \frac{f_d(\mathbf{Q})}{\sqrt{2M_d}} e^{-i\mathbf{W}_d(\mathbf{Q})} \mathbf{Q} \cdot \mathbf{e}_{\mathbf{q}j} e^{i\mathbf{Q} \cdot \mathbf{r}_{jd}} \right|^2 \delta_{(\mathbf{Q}-\mathbf{q}), \pm} F_{\mathbf{q}j}(\omega)$$

$$= \frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/k_B T}} \text{Im}\{-\chi(\mathbf{Q}, \omega)\} = \frac{1}{\pi} \frac{1}{1 - e^{-\hbar\omega/k_B T}} \frac{1}{v(\mathbf{Q})} \text{Im}\{-\epsilon^{-1}(\mathbf{Q}, \omega)\}$$

See Squires, Lovesy, Shulke, Sinha (JPCM 13 (2001) 7511)

Kinematics



Kinetic Energy Given to Sample:

$$E_{\text{recoil}} = \frac{p^2}{2M} = \frac{\hbar^2 Q^2}{2M}$$

Take: $M=57 \text{ amu}$, $Q/c = 7 \text{ \AA}^{-1} \rightarrow E_r=2.3 \text{ meV}$

f-sum rule:

$$\frac{\int d\omega \hbar\omega S(\mathbf{Q},\omega)}{\int d\omega S(\mathbf{Q},\omega)} = \frac{\hbar^2 Q^2}{2M}$$

Compton Form: $\lambda_2 - \lambda_1 = \frac{h}{Mc}(1 - \cos \Theta)$

$$\lambda_c = \frac{h}{m_e c} = 0.0243 \text{ \AA}$$

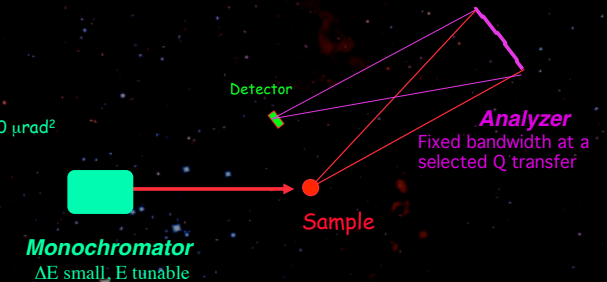
The IXS Spectrometer An Optics Problem

Main Components

Monochromator:
Modestly Difficult
Only needs to accept $15 \times 40 \mu\text{rad}^2$

Sample Stages
Straightforward
Only Need Space

Analyzer:
Large Solid Angle
Difficult



The Goal: Put it all together and
Keep Good Resolution, Not Lose Flux

Basic Optical Concept

Bragg's Law : $\lambda = 2d \sin(\Theta_B) \Rightarrow \Delta\theta = \tan(\Theta_B) \frac{\Delta E}{E}$

Working closer to $\Theta_B \sim 90 \text{ deg.}$ maximizes the angular acceptance for a given energy resolution...

Better energy resolution
→ Closer to 90 degrees
→ Large Spectrometer



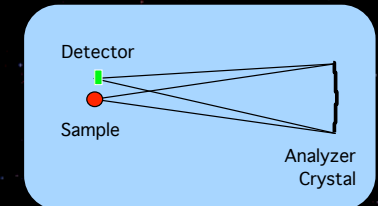
Analyzer Crystals

The more difficult optic...

Require:
Correct Shape (Spherically Curved, $R=9.8 \text{ m}$)
Not Strained ($\Delta E/E \sim \text{few } 10^{-8} \Rightarrow \Delta d/d < \text{few } 10^{-8}$)

Method: Bond many small crystallites to a curved substrate.

Note: For resolution $> 300 \text{ meV}$, bending can be OK.



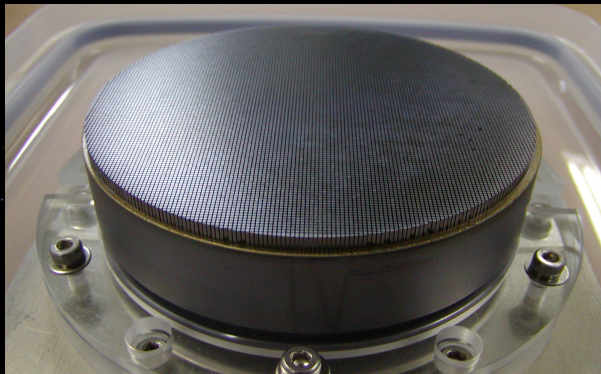
1. Cut
2. Etch
3. Bond to Substrate
4. Remove Back



X-Rays
 10^4 Independent Perfect Crystals

Analyzer Crystal

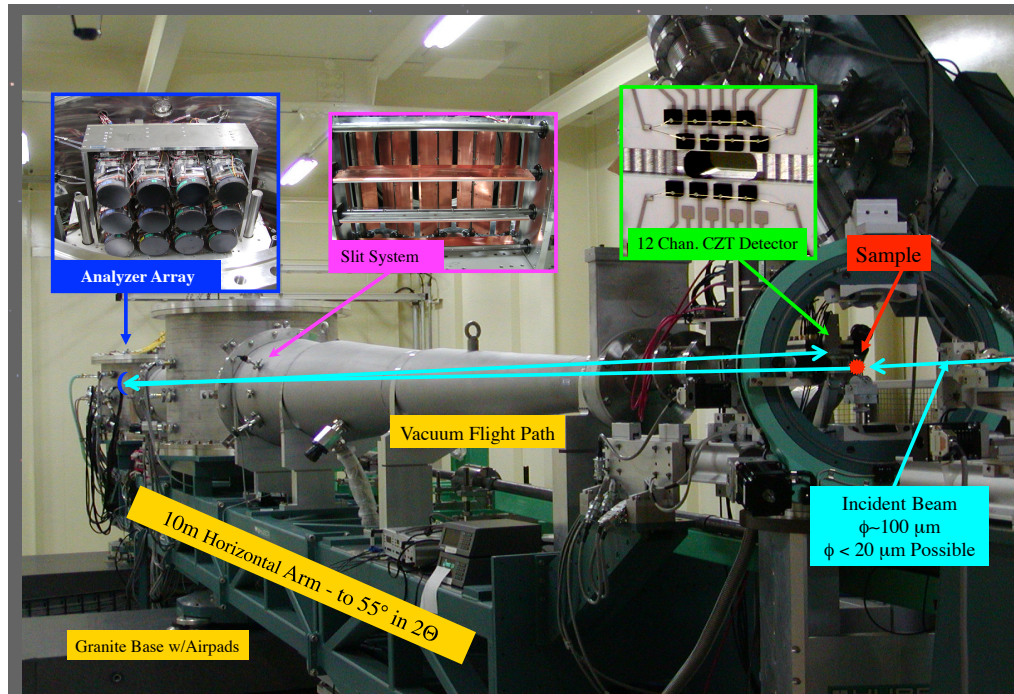
Collaborative R&D with NEC Fundamental Research Laboratory, H. Kimura, F. Yamamoto



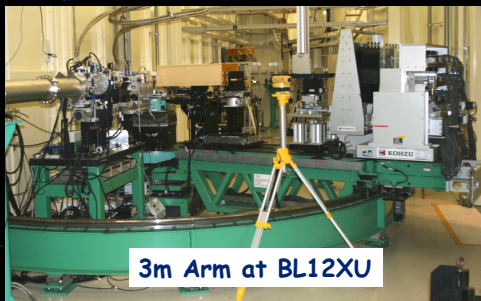
Present Parameters (9.8 m Radius, 10cm Diameter)

50 or 60 μm blade, 2.9 mm depth, 0.74 mm pitch
Channel width (after etch): ~ 0.15 mm
60 to 65% Active Area

AQRB @ AOF5RR Cheiron School 2009



Medium Resolution



3m Arm at BL12XU

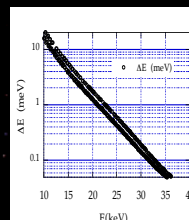
Medium Resolution Spectrometer:
Arm Radius: 1 to 3 m
Resolution: ~ 0.1 to 1 eV
Used for RIXS and NRIXS

BL12XU BL11XU

Note difference between RIXS and NRIXS

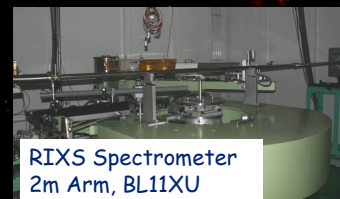
NRIXS: Choose the energy to match the optics

RIXS: Resonance chooses energy \rightarrow usually worse resolution

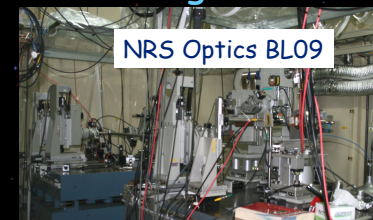


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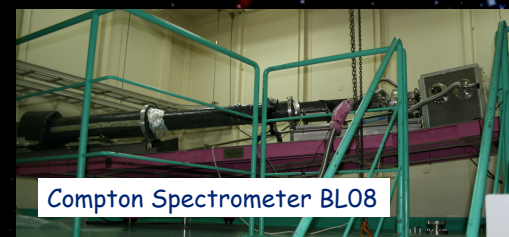
Other Spectrometers @ SPring-8



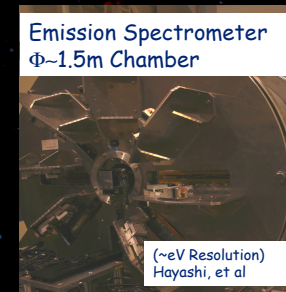
RIXS Spectrometer
2m Arm, BL11XU



NRS Optics BL09



Compton Spectrometer BL08



Emission Spectrometer
 Φ -1.5m Chamber

(\sim eV Resolution)
Hayashi, et al

AQRB @ AOF5RR Cheiron School 2009

Atomic Dynamics: Systems and Questions

Disordered Materials (Liquids & Glasses):

Still a new field → Nearly all new data is interesting.

How do dynamical modes survive the cross-over from the long-wavelength continuum/hydrodynamic regime to atomic length scales?

Crystalline Materials:

Basic phonon model does very well → Specific questions needed.

Phonon softening & Phase transitions (e.g. CDW Transition)

Thermal Properties: Thermoelectricity & Clathrates

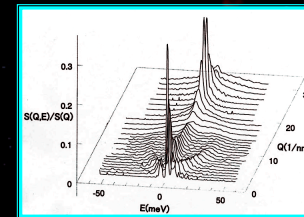
Sound Velocity in Geological Conditions

Pairing mechanism in superconductors

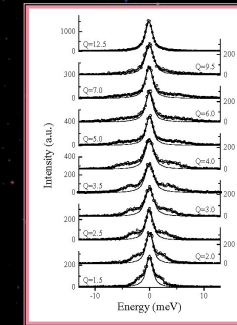
Disordered Materials

Liquids & Glasses

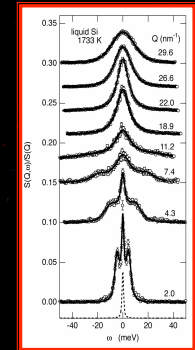
First Glance: Triplet response similar for most materials.
Dispersing Longitudinal Sound Mode
+ Quasi-Elastic peak



I-Mg (Kawakita et al)



α -Se (Scopigno et al)

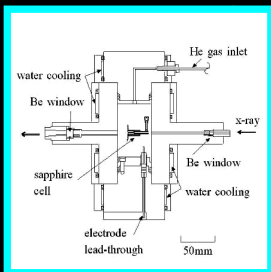


l-Si (Hosokawa, et al)

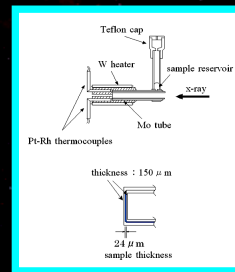
Metal to Insulator Transition in Liquid Mercury

Universal Phenomenon in Liquids:

Expand a liquid metal enough and it becomes an insulator.



For Hg
~1500 C & ~1.5 kbar



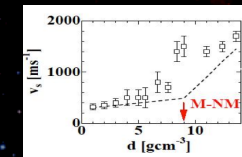
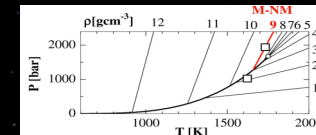
15 mm Be, 200 m He (STP), 0.15 mm Sapphire
~ 20 microns Hg

D. Ishikawa, M. Inui, K. Tamura, et al.

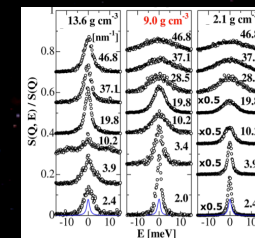
"Fast Sound" at the Metal-Non-Metal Transition in Liquid Hg

Universal Phenomenon in Liquids:

Expand a liquid metal enough and it becomes an insulator.



Ultrasonic Velocity



Suggests a change in the microscopic density fluctuations...

Probably general phenomenon...
but no confirmation yet.

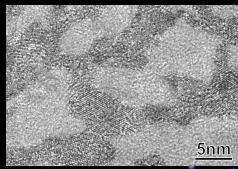
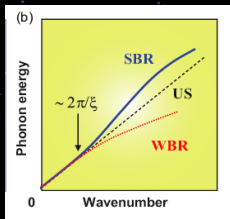
(Next M-I transition under discussion)

D. Ishikawa, et al, PRL 93 (2004) 97801

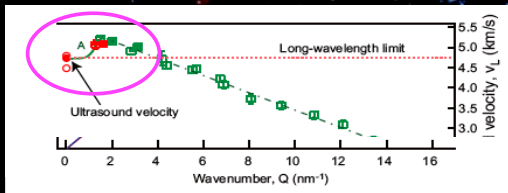
~2 months of beam time...

Elastic Inhomogeneity in a Glass

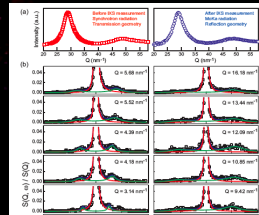
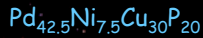
Ichitsubo, et al.



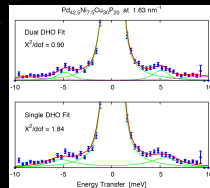
Electron Micrograph of a Re-crystallized Sample



Fast sound a signature of elastic inhomogeneity.
(Detailed analysis: possible failure of DHO Model)

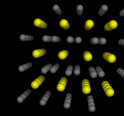


Note: ~1 Day/Spectrum @ Low Q
Subsidiary Structure in Spectra

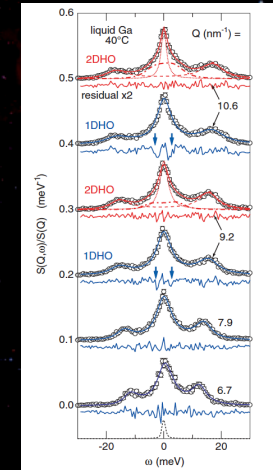
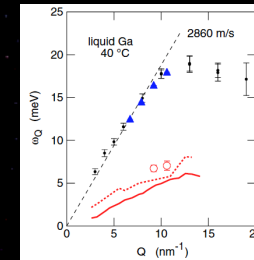
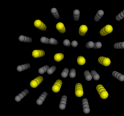


Shear Mode in a Simple Liquid

Pressure Wave in a Liquid: Always



Shear Wave \rightarrow Harder..



Phonons in a Crystal

Normal Modes of Atomic Motion

Must have enough modes so that each atom in a crystal can be moved in either x,y or z directions by a suitable superposition of modes.

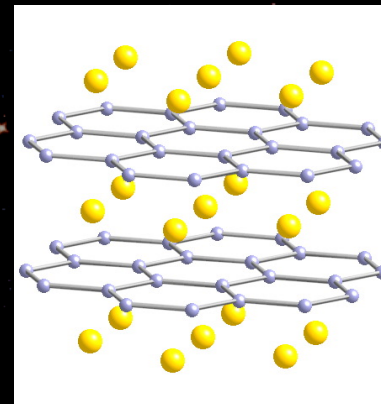
If a crystal has N unit cells and R atoms/Cell then it has $3NR$ Normal Modes

Generally: Consider the unit cell periodicity separately by introducing a continuous momentum variable, q .

$\rightarrow 3R$ modes for any given q

MgB₂ As An Example

Layered Material
Hexagonal Structure



B Layer

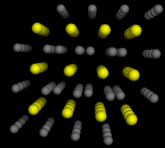
Mg Layer

B-B Bond is Short & Stronger

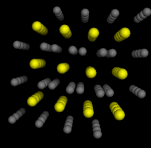
Mg-Mg Bond is Longer & Weaker

Acoustic and Optical Modes

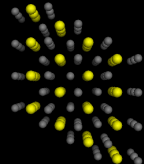
Acoustic Modes are Continuum (Smooth) Modes



LA Mode
Compression Mode

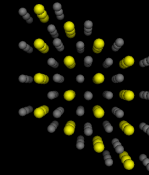


TA Mode
Shear Mode

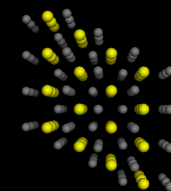


Optical Mode
Atoms in one unit cell
move against each other

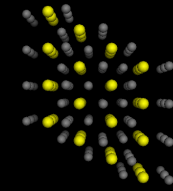
Dispersion of an Optical Mode



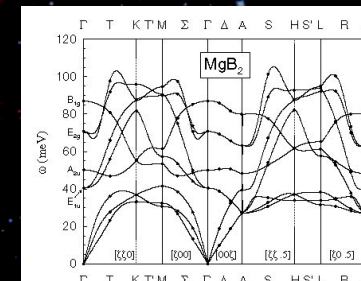
(0 0 0)



(0.25 0 0)



(0.5 0 0)



Phonons in a Superconductor

Conventional superconductivity is driven by lattice motion.

"Phonon Mediated" - lattice "breathing" allows electron pairs to move without resistance.

Original Picture: **Limited** interest in *specific* phonons...

Now: Lots of interest as this makes a huge difference.
Particular phonons can couple very strongly to the electronic system.

How does this coupling appear in the phonon spectra?

Softening: Screening lowers the energy of the mode
(abrupt change \leftrightarrow Kohn Anomaly)

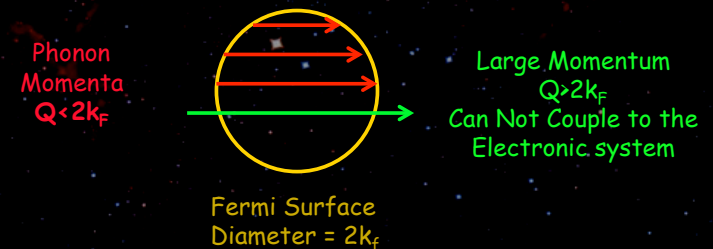
Broadening: Additional decay channel (phonon \rightarrow e-h pair)
reduces the phonon lifetime

Electron Phonon Coupling

& Kohn Anomalies

On the scale of electron energies, a phonon has nearly no energy.
A phonon only has momentum.

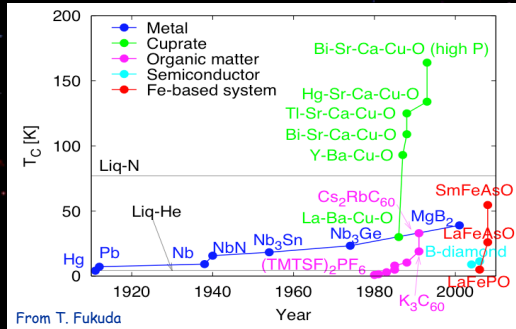
So a phonon can move electrons from one part of the Fermi surface to another, but NOT off the Fermi surface.



Superconductors @ BL35XU

Systems Investigated at BL35XU include

MgB₂, Doped MgB₂, CaAlSi, B-Doped Diamond
 Hg1201, LSCO, YBCO, LESCO, Ti2212, BKBO, NCCO,
 Bi2201, Bi2212, Nickelates, Oxychlorides
 Fe-As Systems: LaFeAsO, PrFeAsO, BaKFeAs



Dark Blue Line: Conventional, Phonon-Mediated Superconductors

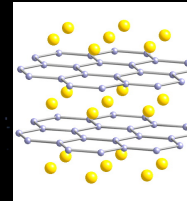
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MgB₂

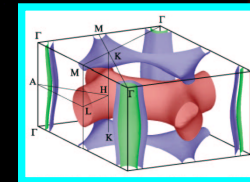
High T_c (39K)

Nagamatsu, et al, Nature **410**, (2001) 63.

Simple Structure...
straightforward calculation.

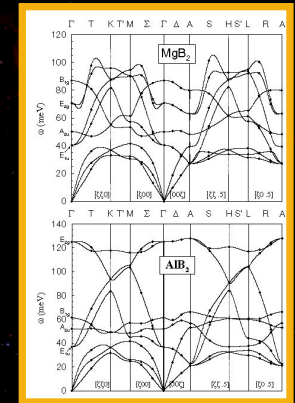


Electronic Structure



Kortus, et al, PRL **86** (2001)4656

Phonon Structure



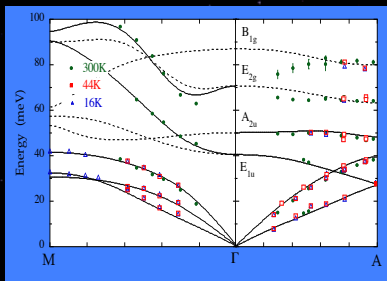
Bohnen, et al. PRL, **86**, (2001) 5771.

BCS (Eliashberg) superconductor with mode-specific electron-phonon coupling.

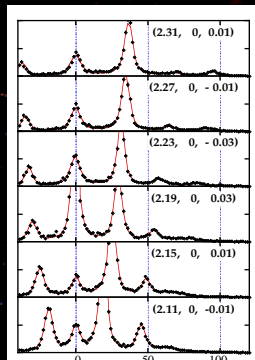
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Electron-Phonon Coupling in MgB₂

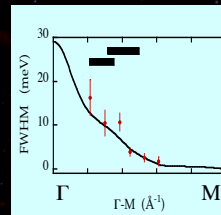
Dispersion



Spectra



Linewidth



Clear correlation between
linewidth & softening.
Excellent agreement with LDA Pseudopotential calculation.

PRL 92(2004) 197004: Baron, Uchiyama, Tanaka, ... Tajima

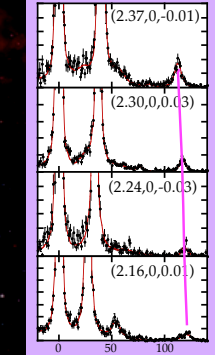
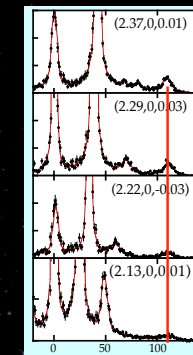
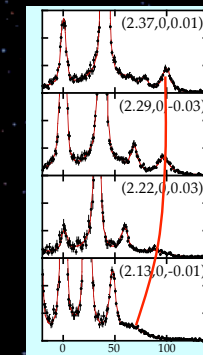
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Carbon Doped Mg(C_xB_{1-x})₂

2%C, T_c=35.5K

12.5% C, T_c=2.5K

AlB₂ (Not SC)



Phonon structure correlates nicely with T_c for charge doping.
(Electron doping fills the sigma Fermi surface)

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More Superconductors

Similar types of results for
Mn Doped MgB_2
CaAlSi
Boron Doped Diamond

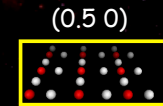
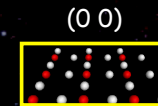
Extrapolation to the High T_c Copper Oxide Materials....
1. Much More Complex
2. Calculations Fail so interpretation is difficult

Phonons in the Cuprates...

Everyone has their favorite mode, or modes, usually focus on Cu-O planes

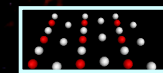
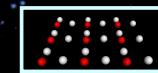
In-Plane Mode:

Stretching mode

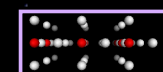
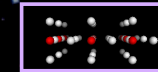


Out of Plane Modes:

Buckling Mode



Apical Mode

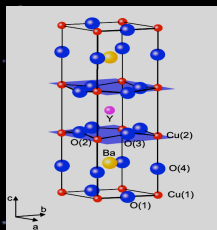


At the level of phonon spectra, the anomaly of the Bond Stretching Mode is very large

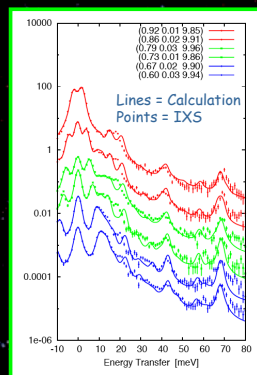
Copper Oxide Superconductors Remain Challenging...

De-Twinned YBCO:
 $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$

$T_c = 91 \text{ K}$

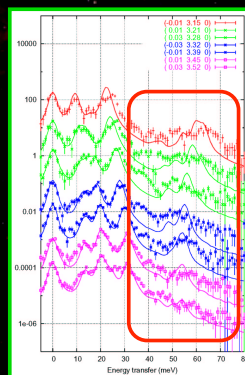


C-axis modes



Beautiful Agreement

In-Plane Modes



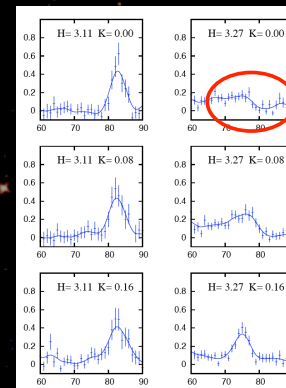
Problems

Compare IXS to Calculation

At low T (~30K) Bohnen, et al.

Shows Bond Stretching Anomaly
Is Huge (>> Buckling Anomaly)

$\text{La}_{1.48}\text{Nd}_{0.4}\text{Sr}_{0.12}\text{CuO}_4$



D. Reznik, et al, Accepted
2.5 days

Phonon anomaly (blurring) is highly localized in momentum space...

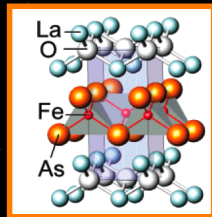
Expt done by a neutron scatterer because he could not get good enough resolution using neutrons

Forces a reinterpretation of some Neutron data (Reznik, Nature, 2006)

Note: IXS Q Resolution
Analyzer array
Count rate limited.

Fe-As Superconductors

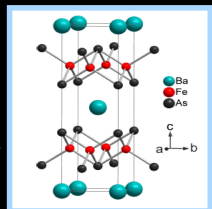
From February 2008



"1111" System

$\text{LaFeAsO}_{1-x}\text{F}_x$
Kamihara, et al.

T_c up to 56K



"122" System

$\text{Ba}_{1-x}\text{K}_x\text{Fe}_2\text{As}_2$
Rotter et al

T_c to 38 K

Common Features:

Fe Planes with Tetrahedral As

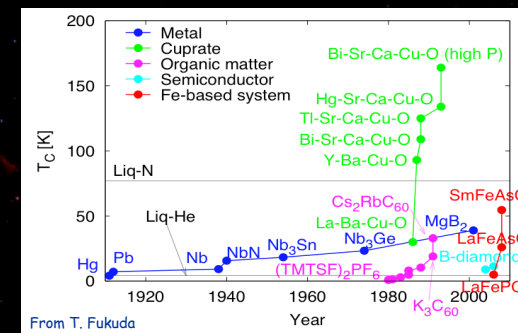
Parent (non SC) Shows Magnetic Order
And
Tetragonal \rightarrow Orthorhombic
Transition at ~ 140 K

Superconductors Remain
Tetragonal.

Superconductors @ BL35XU

Systems Investigated at BL35XU include

MgB_2 , Doped MgB_2 , CaAlSi , B-Doped Diamond
 Hg_{1201} , LSCO , YBCO , LESCO , Ti_{2212} , BKBO , NCCO ,
 Bi_{2201} , Bi_{2212} , Nickelates, Oxychlorides
Fe-As Systems: LaFeAsO , PrFeAsO , BaKFeAs



Dark Blue Line: Conventional, Phonon-Mediated Superconductors

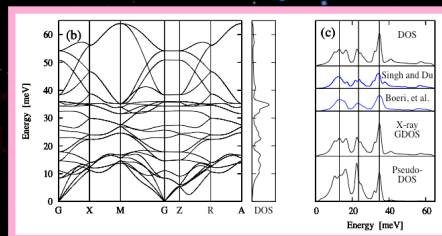
Phonon Calculations (LaFeAsO)

Using the Tetragonal Non-Magnetic Structure
Appropriate for Super-conducting materials

Conclusion from Calculation: Not a phonon mediated superconductor

Various Calculations Consistent.

Singh & Du, *PRL* 100, 237003 (2008).
Boeri, Dolgov, & Golubov, *PRL* 101, 026403 (2008).

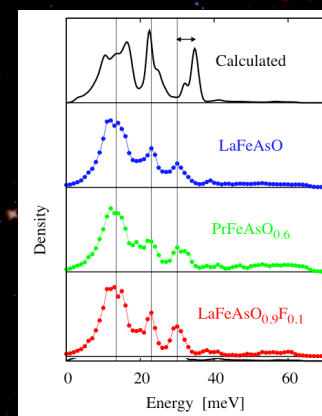


Present calculations
(Nakamura & Machida)
VASP (PAW method)
GGA & PHONON
Direct method

But... these calculations do NOT agree with experiment

Phonon Density of States

The First Indication of Disagreement with Simple Models



Reasonable Agreement Except
Highest Energy Peak

Similar data published simultaneously

INS @ SNS (Christianson, et al)

IXS @ ESRF (LeTacon et al)

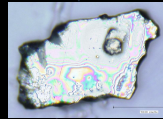
All show significant (~ 5 meV) softening

Softened peak is primarily Fe-As modes.

This discrepancy is large by the standards of modern
ab-initio, pseudo-potential calculations

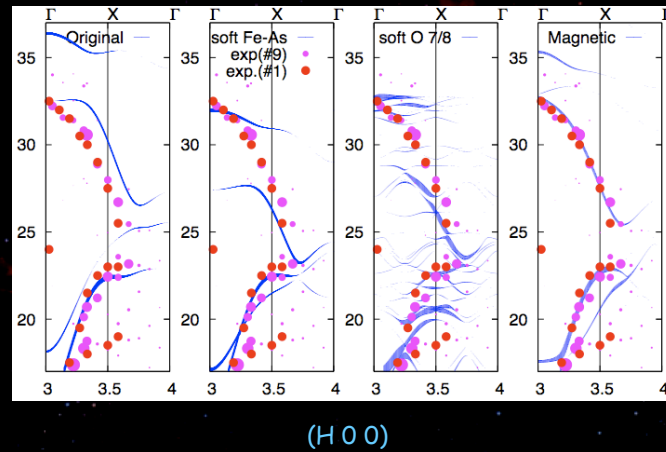
RIKEN, JAEA, JASRI, AIST, JST
Fukuda, et al, *JPSJ* L 77 (2008)

Single Crystal Dispersion



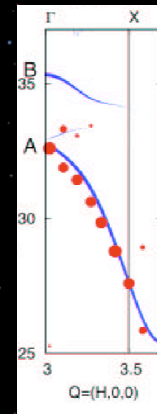
PrFeAsO_{1-y}
150 x 100 x 20 μm³

Ishikado, Kito,
& Eisaki (at AIST)

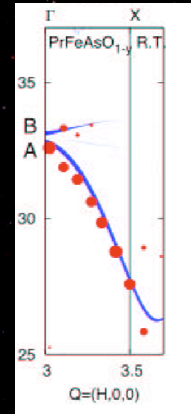
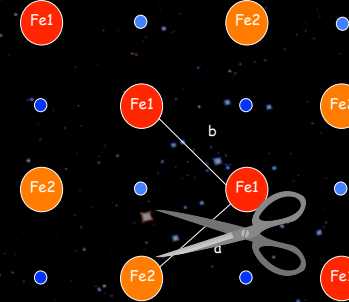


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Modifying the Model...



Original LSDA

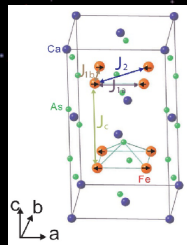
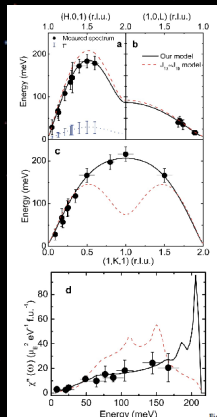


"Clipped"

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Strong Asymmetry of Magnetic Interaction

Fits to Dispersion in Ca122



$$SJ_{1a} = 49.9 \pm 9.9$$

$$SJ_{1b} = -5.9 \pm 4.5$$

Zhao, et al., Nat. Phys. 5 (2009) 555

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A Short-Lived Magnetic Structure?

Magnetic calculations agree more nearly with experimental results.

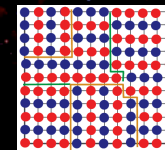
Increasing evidence for (and speculation about) fluctuating magnetism

Upper limit for lifetime: ~ ns from Mossbauer (Kitao, et al., JPSJ)

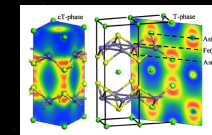
Related work on 122 materials: (Zbiri, et al., PRB, Hahn, et al PRB)

Larger effects, but not superconducting samples (& esp. c-axis modes)

Theory:



Model of anti-phase domains
Mazin & Johannes, Nat. Phys. (Feb)



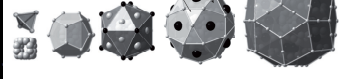
Bonding & Lattice constants & Magnetism
Yildirim, PRL (Jan), arXiv

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Phonons in a Quasicrystal

Mostly like a solid but some glassy character.

Building a Quasicrystal (Zn-Mg-Si)

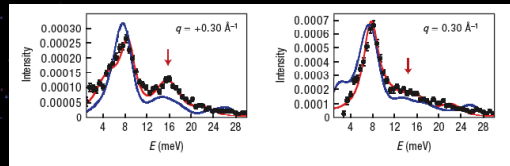
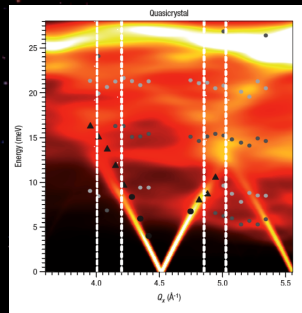


Periodic (BCC) → Crystalline Approximant
Aperiodic → Quasicrystal

Compare to crystalline approximant & Simulation (2000 atoms/cell)

General Trend: Blurring out past a cutoff energy
"Pseudo-Brillouin" zone size

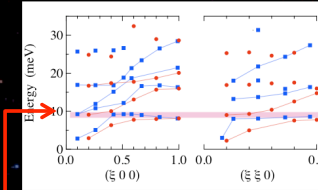
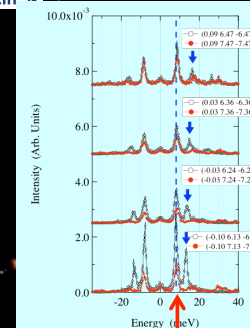
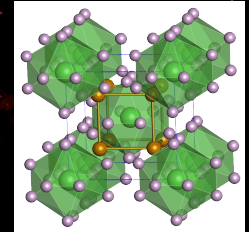
De Boissieu, et al.
Nature Materials, Dec 2007



Red: Fits, Blue: Simulation
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SmRu₄P₁₂

S. Tsutsui, et al.
JPSJ 77 (2008) 033601

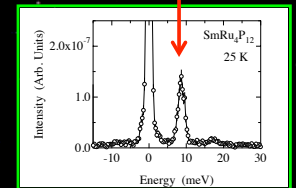


"Localized" Rattling Mode

Localized Nature Confirmed by IXS
(No Frequency Dispersion, Weak Intensity Dispersion, BUT Note Anticrossing!)

Sm Mode confirmed by (Sm specific) Nuclear Inelastic Scattering

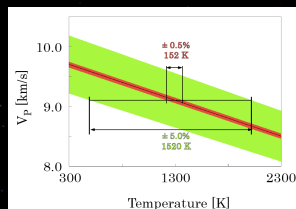
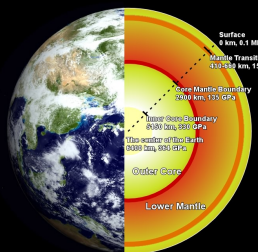
Anti-Crossing Subsequently Confirmed
Christensen et al, Nature Materials



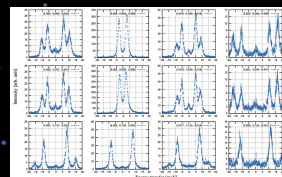
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Elastic Constants in Geological Conditions

Required for Modeling Earths Interior & Interpreting Seismic Data ($v \rightarrow T$)
... but this is difficult to measure for samples in a DAC



MgO
5% Uncertainty in v
→ 750K in T



One Scan with 12-Analyzers
AQRB @ AOF5RR Cheiron School 2009

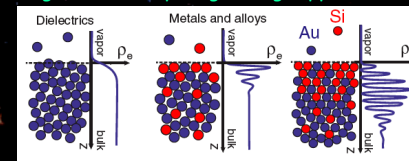
Precision/Accuracy 0.2/0.8% using
Christoffel's Eqn & 12 Analyzer Array
H. Fukui, et al., JSR

~1 Order Improvement Over Previous IXS

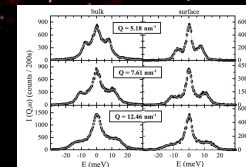
Liquid Surfaces

Surface Dynamics are different than bulk...
Surface Sensitivity (~5nm) is possible at Extreme Grazing Incidence

e.g. Surface Layering... (fig. Spytko, et al)

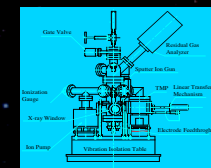


Liquid Indium (ESRF)



Reichert, et al, PRL 2007

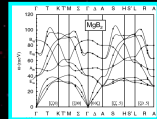
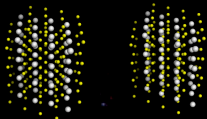
Favorable Tests in Air...
UHV Chamber now being commissioned.
(D. Ishikawa)



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Atomic -> Electronic Dynamics

Atomic Dynamics

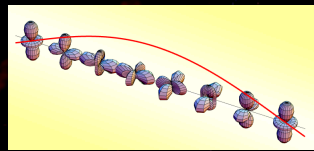


Correlated atomic motions (phonons) play a role in many phenomena (e.g. superconductivity, CDWs, phase transitions, thermoelectricity, magneto-elastic phenomena etc)

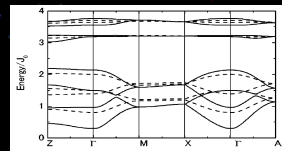
Electronic Excitations.... A New Field

High (~10 meV) Resolution at Large Momentum Transfers

Orbiton Movie
S. Maekawa



1 electron -> Very Weak



Calculated Orbiton Dispersion
Ishihara

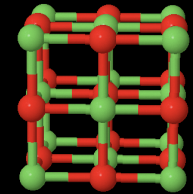
Key is to see momentum dependence (dispersion) -> Not Yet.

First Attempt via IXS: NJP 2004

d-d Excitations in NiO

First something simple...

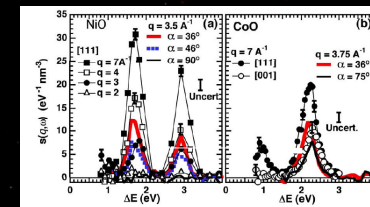
There exist well-defined excitations in the charge transfer gap of NiO
Antiferromagnet (T_N 523K), (111) Spin order



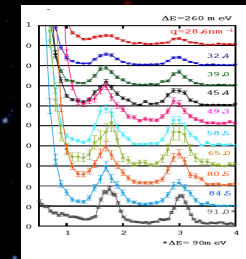
Long and Distinguished History

First (resonant) IXS experiments (Kao, et al)

Non-Resonant IXS, $\Delta E \sim 300$ meV



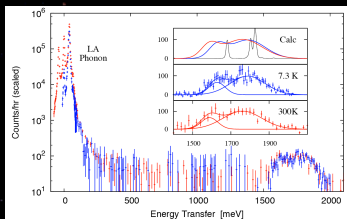
Larson, et al., PRL 99 (2007) 026401



Cai, Hiraoka, et al, BL12XU
Unpublished

High Resolution Experiment

7 meV resolution at 1800 meV energy transfer



Cleaner "Optical Spectroscopy" due to

1. Non-resonant interaction $S(Q, \omega)$
2. Large Q & Q dependence
-> selects multipole order.
-> atomic correlations.

Linewidth -> information about environment

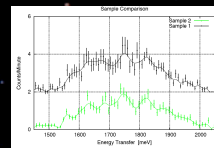
Spin fluctuations
Lattice interactions (Franck-Condon)

d-d Excitation in NiO

Baron et al, Fall 07, 3 Days/Spectrum

Collective interaction <-> dispersion
(d-d excitations -> "orbiton")

Relevance to correlated materials...
Gaps (Mott, Charge Transfer) and
Mid-IR band in high T_c s
f-electron transitions, etc



"Momentum Resolved Optical Spectroscopy"

Conventional Optical Spectroscopy:

(Absorption, Reflectivity)

Information on electronic energy levels but *without* information on inter-atomic correlations or atomic structure

With x-rays, the short wavelength allows direct probe at atomic scale:

Is an excitation collective or local (does it disperse)?

What is the atomic symmetry of an excitation?

How does it interact with the surrounding environment?

Resonant experiment vs non-resonant IXS experiment.

Non-resonant experiment is simpler and can have higher resolution
... but badly flux limited

NRIXS

MgB₂ Collective Excitation

PRL 97, 176402 (2006) PHYSICAL REVIEW LETTERS week ending 27 OCTOBER 2006

Low-Energy Charge-Density Excitations in MgB₂: Striking Interplay between Single-Particle and Collective Behavior for Large Momenta

Y. Q. Cai,^{1,4} P. C. Chow,^{1,3} O. D. Restrepo,^{2,3} Y. Takano,⁴ K. Togano,⁴ H. Kito,⁵ H. Ishii,¹ C. C. Chen,¹ K. S. Liang,¹ C. T. Chen,¹ S. Tsuda,⁶ S. Shin,^{6,7} C. C. Kao,⁸ W. Ku,⁹ and A. G. Eguiluz^{2,3}

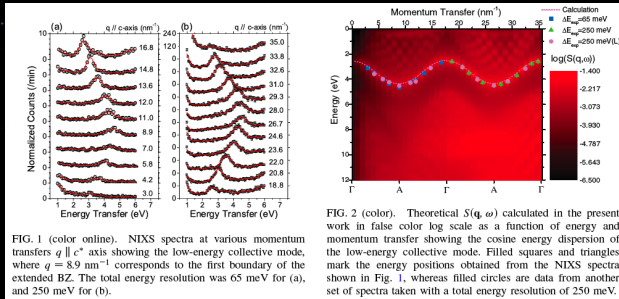
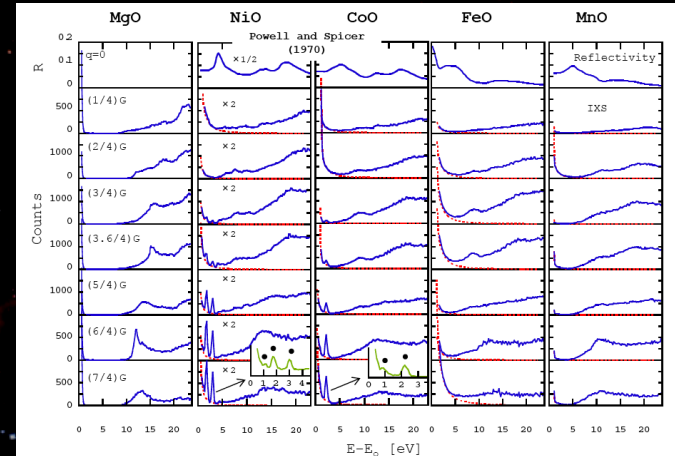


FIG. 1 (color online). NRIXS spectra at various momentum transfers $q \parallel c^*$ axis showing the low-energy collective mode, where $q = 8.9 \text{ nm}^{-1}$ corresponds to the first boundary of the extended BZ. The total energy resolution was 65 meV for (a), and 250 meV for (b).
FIG. 2 (color). Theoretical $S(q, \omega)$ calculated in the present work in false color log scale as a function of energy and momentum transfer showing the cosine energy dispersion of the low-energy collective mode. Filled squares and triangles mark the energy positions obtained from the NRIXS spectra shown in Fig. 1, whereas filled circles are data from another set of spectra taken with a total energy resolution of 250 meV.

Excitation repeats from one zone to the next...

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Larger Energy Range



Hiraoka et al

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RIXS & NRIXS

30 to 300 meV resolution

Both are Methods of Probing Electronic Structure

RIXS = Resonant IXS = Near an absorption edge

NRIXS = Non-Resonant IXS

RIXS: Higher Rate

Poorer Resolution (Optics must match resonance)

Element Specific (Somewhat)

More Complicated Data

NRIXS: Lower Rate

Higher Resolution (Choose energy to match optics)

Simpler & Cleaner Data

Slightly Different Experimental Setup

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RIXS -> 2 Orbital

This is different...

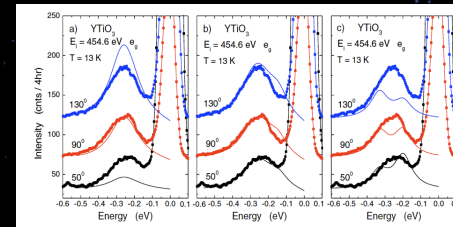
PRL 103, 107205 (2009)

PHYSICAL REVIEW LETTERS

week ending 4 SEPTEMBER 2009

Momentum Dependence of Orbital Excitations in Mott-Insulating Titanates

C. Ulrich,¹ L. J. P. Ament,² G. Ghiringhelli,³ L. Braicovich,⁴ M. Moretti Sala,⁴ N. Pezzotta,⁴ T. Schmitt,⁵ G. Khaliullin,¹ J. van den Brink,^{2,6} H. Roth,⁷ T. Lorenz,⁷ and B. Keimer¹

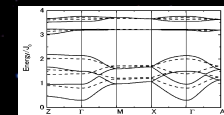


Ti L-Edge RIXS at the SLS

Signal from "2-orbital", some evidence of changes with Q

Nice;

...but not real orbital dispersion.



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2-Magnon Peak?

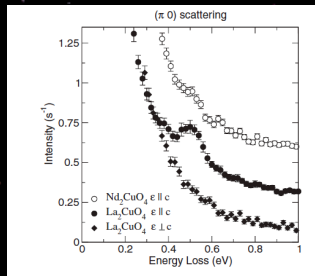
PRL 100, 097001 (2008)

PHYSICAL REVIEW LETTERS

week ending
7 MARCH 2008

Observation of a 500 meV Collective Mode in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$ and Nd_2CuO_4 Using Resonant Inelastic X-Ray Scattering

J. P. Hill,^{1,2} G. Blumberg,³ Young-June Kim,⁴ D. S. Ellis,⁴ S. Wakimoto,⁴ R. J. Birgeneau,⁴ Seiki Komiya,⁵ Yoichi Ando,^{5,*} B. Liang,⁶ R. L. Greene,⁶ D. Casa,⁷ and T. Gog⁷



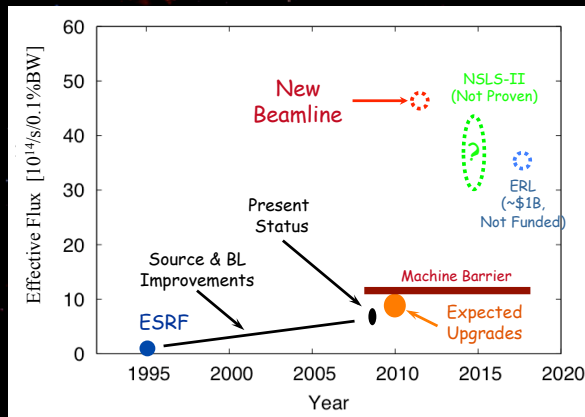
Copper K-Edge RIXS
120 meV resolution, APS, Sector 9

Q Dependence (over zone)
and polarization dependence

d-d Excitation or 2-Magnon?

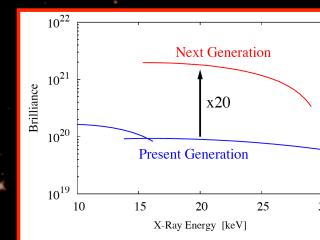
IXS Beamline Evolution

For meV Resolution at 20 keV



A Next Generation Beamline

Dramatic Improvement to Source and Spectrometer
allows new science...



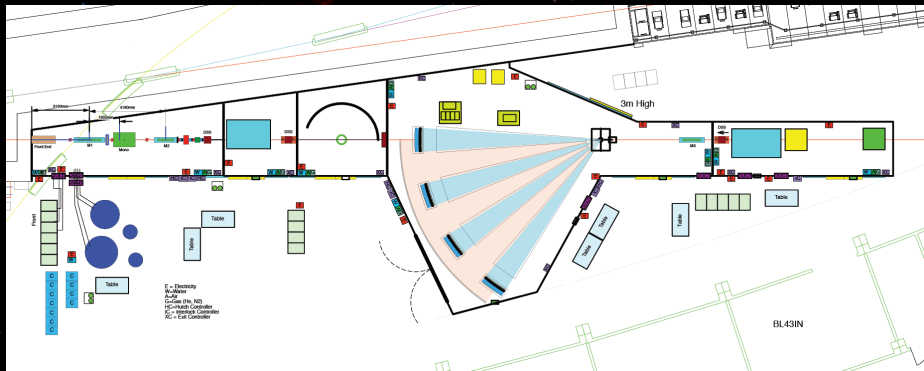
New Field: Electronic excitations

Also many expts now flux limited:
Phonons in complex materials
Extreme environments (HT, HP liquids)
High pressure DAC work (Geology)
Excitations in metal glasses
Super-cooled liquids
etc

Improvements

Flux On Sample: x10
Parallelization: x3
Small Spot Size: x5





Si Reflection Order	(888) EE ^a	(888)	(999)	(11 11 11)	(13 13 13)
Energy (keV)	15.816	15.816	17.794	21.747	25.702
Resolution (meV)	40	6	3	1.5	1 (0.7) ^b
Flux (10 ¹⁴ /s.0.1%)	68	68	64	50	30 (40) ^b
BL35 (10 ¹⁴ /s.0.1%)	4.9	4.9	7.1	5.9	4.7
Q Max (Å ⁻¹) ^c	16	16	18	22	26

X-Ray Raman Scattering

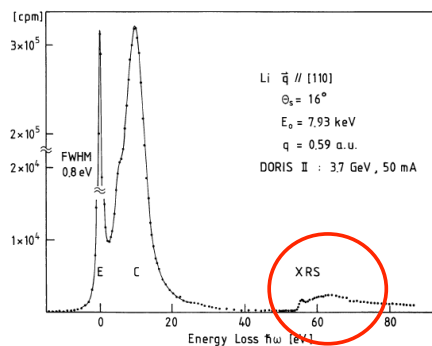


Fig. 1. Raw experimental data for Li single crystal obtained in the dispersion compensating case. The X-ray Raman spectrum (XRS) has an edge like onset at the binding energy of the Li *K*-electron of about 55 eV. E and C denote the quasielastically scattered Rayleigh line and the $S(q, \omega)$ profile from the valence electrons, respectively.

Nagasawa, et al, J. Phys. Soc. Jpn. 58 (1989) pp. 710-717

X-Ray Raman Scattering

(Example of Ice Under Pressure)

Suppose you would like to measure the structure of the oxygen k-edge (at 532 eV) of a sample inside of a high pressure cell with 1mm thick diamond windows?

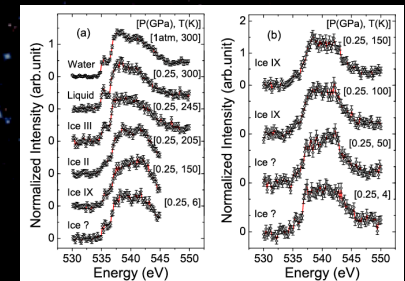
Diamond:

$$l_{\text{abs}} < 0.5 \text{ } \mu\text{m} \text{ } 500 \text{ eV}$$

$$l_{\text{abs}} \sim 2 \text{ mm } 10 \text{ keV}$$

Easier at 10 keV than 0.5 keV

Note: need dipole approx. ($Q.r \ll 1$) to be good to compare with usual XAFS.



Ordering of Hydrogen Bonds in High-Pressure Low-Temperature H₂O

Y. Q. Cai,^{1,*} H.-K. Mao,² P. C. Chow,^{1,†} J. S. Tse,³ Y. Ma,³ S. Patchkovskii,³ J. F. Shu,² V. Struzhkin,² R. J. Hemley,²
H. Ishii,¹ C. C. Chen,¹ I. Jarrige,¹ C. T. Chen,¹ S. R. Shieh,⁴ E. P. Huang,⁴ and C. C. Kao⁵

Compton Scattering

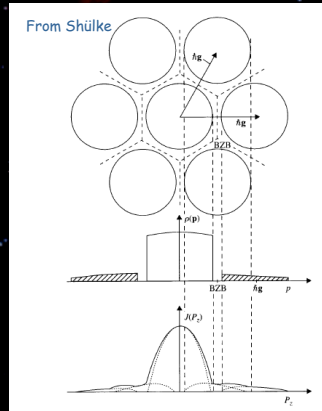
For very large Q and $\Delta E \ll E$ one can take

$$S(\mathbf{Q}, \omega) = \frac{m}{\hbar Q} \iint dp_x dp_y \rho(p_z = p_0) \\ = \frac{m}{\hbar Q} J(p_0)$$

Typical: $Q \sim 100 \text{ \AA}^{-1}$
 $E > 100 \text{ keV}$

I.e: Compton scattering projects out the electron momentum density.

Typical of incoherent scattering...



Three-Dimensional Momentum Density Reconstruction

Three-dimensional momentum density, $n(\mathbf{p})$, can be reconstructed from ~ 10 Compton profiles.

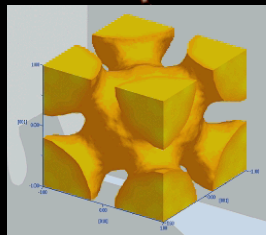
$$J(p_z) = \iint n(\mathbf{p}) dp_x dp_y$$

Reconstruction:

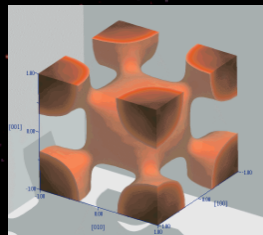
- Direct Fourier Method
- Fourier-Bessel Method
- Cormack Method
- Maximum Entropy Method

Momentum density, $n(\mathbf{p})$

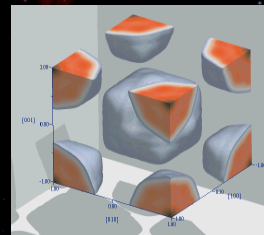
Fermi surfaces of Cu and Cu alloys



Cu-15.8at%Al



Cu



Cu-27.5at%Pd

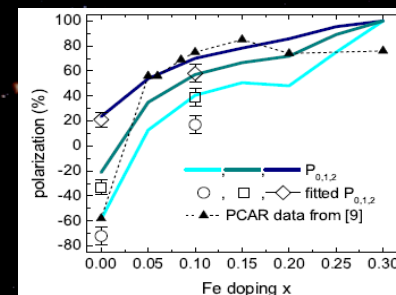
Determined by Compton scattering at KEK-AR

J. Kwiatkowska *et al.*, Phys. Rev. B 70, 075106 (2005)

Spin Polarization by Magnetic Compton Scattering

Magnetic Compton scattering combined with *ab initio* electronic structure calculation is used to evaluate the degrees of spin polarization, P_n .

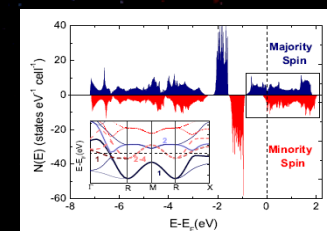
Spin Polarization of $\text{Co}_{2-x}\text{Fe}_x\text{S}_2$



C. Utfeld *et al.*, PRL Accepted

$$P_n = \frac{N_{\uparrow} v_{F,\uparrow}^n - N_{\downarrow} v_{F,\downarrow}^n}{N_{\uparrow} v_{F,\uparrow}^n + N_{\downarrow} v_{F,\downarrow}^n}$$

$N_{\uparrow/\downarrow}$: Spin-dependent DOS at Fermi level
 $v_{F,\uparrow/\downarrow}$: Fermi velocity



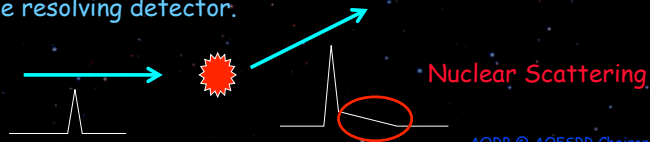
Nuclear Inelastic Scattering

First Demonstrated (Clearly) by Seto et al 1995

Mössbauer Resonances Exist in Different Nuclei...

Isotope	Transition energy (keV)	Lifetime (ns)	Alpha	Natural abundance (%)
¹⁸¹ Ta	6.21	8730	71	100
¹⁶⁹ Tm	8.41	5.8	220	100
⁸³ Kr	9.40	212	20	11.5
⁵⁷ Fe	14.4	141	8.2	2.2
¹⁵¹ Eu	21.6	13.7	29	48
¹⁴⁹ Sm	22.5	10.4	~ 12	14
¹¹⁹ Sn	23.9	25.6	~ 5.2	8.6
¹⁶¹ Dy	25.6	40	~ 2.5	19

Resonances have relatively long lifetimes so that if one has a pulsed source, one can separate the nuclear scattering by using a fast time resolving detector.

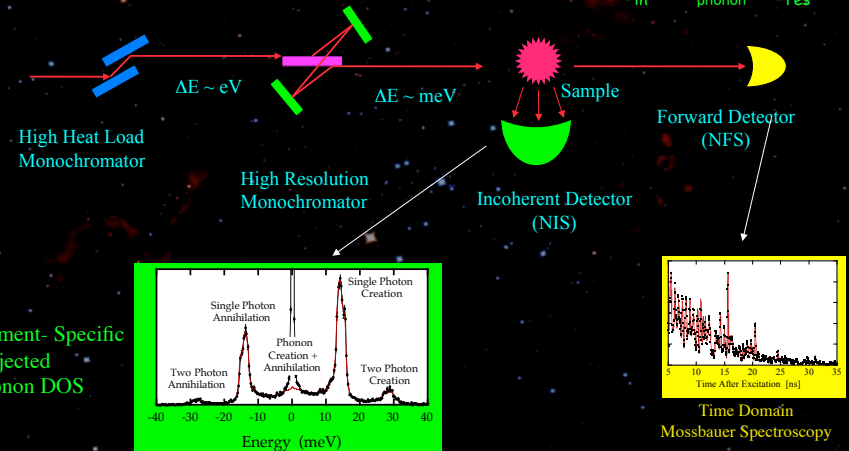


AQRB @ AOF5RR Cheiron School 2009

NIS Setup

Use a narrow bandwidth monochromator
The nuclear resonance becomes the analyzer.

1. $E_{in} = E_{res}$
2. $E_{in} + E_{phonon} = E_{res}$
3. $E_{in} - E_{phonon} = E_{res}$

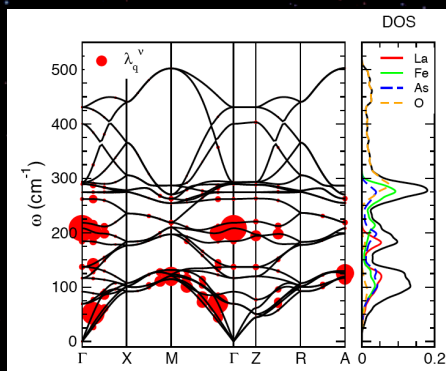


AQRB @ AOF5RR Cheiron School 2009

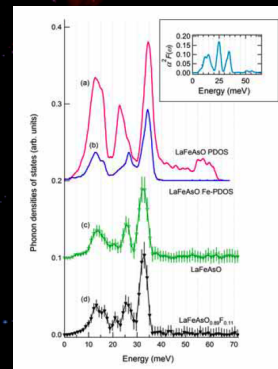
NIS Gives the Partial Projected DOS

Example of the Fe-As Superconductors

Partial= Element Specific Projected= Weakly Directional $I \sim \epsilon \cdot k$



Calculation: Boeri et al



Measurement: Higashitaniguchi et al

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NIS: Good and Bad

Important things to note:

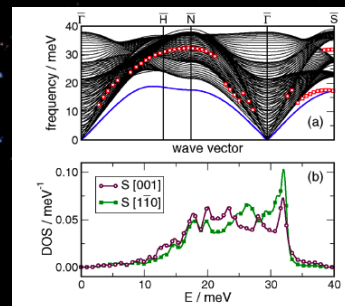
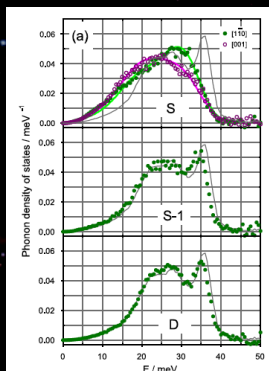
1. Element and isotope selective.
2. Gives Projected Density of states NOT Dispersion (But it does this nearly perfectly)

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NIS Example: Surface DOS

Slezak et al PRL 99 (2007) 066103

^{57}Fe monolayers near the surface of ^{56}Fe



Note projection!

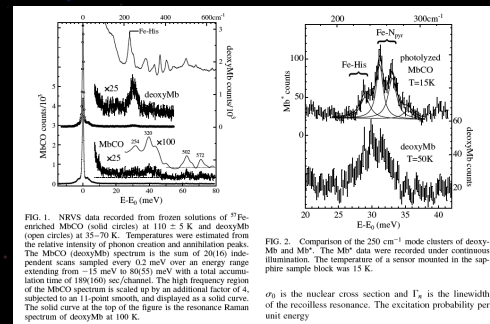
NIS Example: Biological Macro-Molecules

VOLUME 86, NUMBER 21 PHYSICAL REVIEW LETTERS 21 MAY 2001

Long-Range Reactive Dynamics in Myoglobin

J. Timothy Sage,¹ * Stephen M. Durbin,² Wolfgang Sturhahn,³ David C. Wharton,¹ Paul M. Champion,¹ Philip Hession,^{2,3} John Sutter,^{2,3} and E. Ercan Alp³

e.g.



Where element specificity can help a lot.