



EUV and Soft X-Ray Optics

David Attwood University of California, Berkeley and Advanced Light Source, LBNL

Cheiron School October 2010 SPring-8



The short wavelength region of the electromagnetic spectrum





 $n = 1 - \delta + i\beta$ $\delta, \beta << 1$



Available x-ray optical techniques



• Reflection (glancing incidence or multilayer coatings)



• Refraction (only for hard x-rays, > 20 keV)





Refractive index from the IR to x-ray spectral region









Refractive Index

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$$n = 1 - \delta + i\beta = 1 - \frac{n_a r_e \lambda^2}{2\pi} (f_1^0 - i f_2^0)$$

Atomic scattering factors



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Diffractive optics for soft x-rays and EUV



Zone Plates

Gratings







DiffracOptics.ai



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Depth of focus and spectral bandwidth











A Fresnel zone plate lens for soft x-ray microscopy





Courtesy of E. Anderson, LBNL





 Δr = 35 nm, Δt = 180 nm Au, N = 1700 D = 240 µm, 3 x 95 µm^D central stop









The Nanowriter: high resolution electron beam writing with high placement accuracy

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Courtesy of E. Anderson (LBNL)



Zones plates for soft x-ray image formation



Zone Plate Lens



Soft X-Ray Microscope



Zone Plate Formulae

$r_n^2 = n\lambda f + \frac{n^2\lambda^2}{4}$	(9.9)	$\lambda = 2.5 \text{ nm},$ $\Delta r = 25 \text{ nm}$ N = 618
$D = 4N\Delta r$	(9.13)	63 µm
$f = \frac{4N(\Delta r)^2}{\lambda}$	(9.14)	0.63 mm
$NA = \frac{\lambda}{2\Delta r}$	(9.15)	0.05
Res. = $k_1 \frac{\lambda}{NA} = 2k_1 \Delta r$	$\int k_1 = 0.61$ ($\sigma = 0$)	$1.22\Delta r = 30 \text{ nm}$
	$\begin{cases} k_1 = 0.4\\ (\sigma = 0.45) \end{cases}$	$0.8\Delta r = 19$ nm
$DOF = \pm \frac{1}{2} \frac{\lambda}{(NA)^2}$	(9.50)	1 µm
$rac{\Delta\lambda}{\lambda} \leq rac{1}{N}$	(9.52)	1/700

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New x-ray lenses: Improving contrast and resolution for x-ray microscopy





C. Chang, A. Sakdinawat, P.J. Fischer, E.H. Anderson, D.T. Attwood, Opt. Lett. 2006; Sakdinawat and Liu, Opt. Lett. 2007; Sakdinawat and Liu, Opt. Express 2008





Diffraction limited imaging is limited by the finite wavelength and acceptance aperture:



where NA = n sin θ and the constant k₁ depends on illumination and specific image modulation criteria. For x-rays

$$n = 1 - \delta + i\beta$$
 $\delta, \beta << 1$



Diffraction limited x-ray imaging



For example, the widely accepted Rayleigh criteria for resolving two adjacent, mutually incoherent, point sources of light, results in a 26% intensity modulation.



 $\Delta r_{resol.} = 0.61 \lambda / NA$

Resultant intensity pattern when the two point sources are "just resolved", such that the central lobe maximum due to one point source overlaps the first minimum (dark ring) of the other.



Resolution and illumination



Achievable resolution can be improved by varying illumination:

An object pattern of periodicity d diffracts light and is just captured by the lens – setting the diffraction limited resolution limit.



Diffraction from an object of smaller periodicity, d/2, is just captured, and resolved, when illuminated from an angle.





Spatial frequency response of the optical system can be optimized by tailoring the angular distribution of illumination.



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Nature

LETTERS

Soft X-ray microscopy at a spatial resolution better than 15 nm

Weilun Chao^{1,2}, Bruce D. Harteneck¹, J. Alexander Liddle¹, Erik H. Anderson¹ & David T. Attwood^{1,2}

Analytical tools that have spatial resolution at the nanometre scale are indispensable for the life and physical sciences. It is desirable that these tools also permit elemental and chemical identification on a scale of 10 nm or less, with large penetration depths. A variety of techniques1-7 in X-ray imaging are currently being developed that may provide these combined capabilities. Here we report the achievement of sub-15-nm spatial resolution with a soft X-ray microscope-and a clear path to below 10 nm-using an overlay technique for zone plate fabrication. The microscope covers a spectral range from a photon energy of 250 eV (~5 nm wavelength) to 1.8 keV (~0.7 nm), so that primary K and L atomic resonances of elements such as C, N, O, Al, Ti, Fe, Co and Ni can be probed. This X-ray microscopy technique is therefore suitable for a wide range of studies: biological imaging in the water window^{8.9}; studies of wet environmental samples10,11; studies of magnetic nanostructures with both elemental and spin-orbit sensitivity12-14; studies that require viewing through thin windows, coatings or substrates (such as buried electronic devices in a silicon chip1); and three-dimensional imaging of cryogenically fixed biological cells^{9,10}.

The microscope XM-1 at the Advanced Light Source (ALS) in Berkeley¹⁷ is schematically shown in Fig. 1. The microscope type is similar to that pioneered by the Gättingen/BESSY group (ref. 18, and references therein). A 'micro' zone plate (MZP) projects a full-field image to an X-ray-sensitive CCD (charge-coupled device), typically in one or a few seconds, often with several hundred images per day. The field of view is typically 10 µm, corresponding to a magnification of 2,500. The condenser zone plate (CZP), with a central stop, serves two purposes in that it provides partially coherent hollow-cone illumination², and, in combination with a pinhole, serves as the



Figure 1 A diagram of the soft X-ray microscope XM-1. The microscope uses a micro zone plate to project a full field image onto a CCD camera that is sensitive to soft X-rays. Partially coherent, hollow-cone illumination of the sample is provided by a condenser zone plate. A central stop and a pinhole provide monochromatization.

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¹Center for X-ray Optics, Lawrence Berkeley National Laboratory, 1 Cyclibtron Road, MS 2-400, ²Department of Electrical Engineerin California, Berkeley, California 94720, USA

monochromator. Monochromatic radiation of $\lambda/\Delta\lambda = 500$ is used. Both zone plates are fabricated in-house, using electron beam lithography.¹⁰.

The spatial resolution of a zone plate based microscope is equal to $k_1 \lambda M \Lambda_{\rm M2D}$ where λ is the wavelength, $N \Lambda_{\rm M2P}$ is the numerical aperture of the MZP, and k_1 is an illumination dependent constant, which ranges from 0.5 to 0.61. For a zone plate lens used at high magnification, $N \Lambda_{\rm M2P} = \lambda/2 \Delta r_{\rm M2P}$ where $\Delta r_{\rm M2P}$ is the outermost (smallest) zone width of the MZP⁴⁰. For the partially coherent illumination 22 used here, $k_1 = 0.4$ and thus the theoretical resolution is $0.8 \Delta_{\rm M2P}$, as calculated using the SPLAT computer program²⁵ (a two-dimensional scalar diffraction code, which evaluates partially coherent imaging). In previous results with a $\Delta r_{\rm M2P} = 25$ nm zone plate, we reported² an unambiguous spatial resolution of 20 nm. Here we describe the use of an overlay nanofabrication technique that allows us to fabricate zone plates with finer outer zone widths, to $\Delta r_{\rm M2P} = 15$ nm, and to achieve a spatial resolution of below 15 nm, with clear potential for further extension.

This technique overcomes nanofabrication limits due to electron beam broadening in high feature density patterning. Beam broadening results from electron scattering within the recording medium (resist), leading to a loss of image contrast and thus resolvability for $\lambda = 1.52 \text{ nm} (815 \text{ eV})$ $\Delta r = 15 \text{ nm}$ N = 500 $D = 30 \mu \text{m}$ $f = 300 \mu \text{m}$ $\sigma = 0.38$ $0.8 \Delta r = 12 \text{ nm}$





Cr/Si test pattern (Cr L₃ @ 574 eV) (2000 X 2000, 10⁴ ph/pixel)





- Shorter wavelengths, potentially better spatial resolution and greater depth-of-field.
- Less absorption (β); phase shift (δ) dominates, higher efficiency.
- Thicker structures required (e.g., zones), higher aspect ratios pose nanofabrication challenges.
- Contrast of nanoscale samples minimal; will require good statistics, uniform background, dose mitigation.

Nanoscale hard x-ray tomography



Challenges for achieving nm scale resolution:

- High resolution objective lens: limiting the ultimate resolution
- High numerical aperture condenser lens:
- Detector: high efficiency for lab. source and high speed for synchrotron sources
- Precision mechanical system

Courtesy of Wenbing Yun and Michael Feser, Xradia

Xradia nanoXCT: Sub-25 nm Hard X-ray Image

Xradia Resolution Pattern

- 50 nm bar width
- 150 nm thick Au
- 8keV x-ray energy
- 3rd diffraction order

F. Duewer, M. Tang, G. C. Yin, W. Yun, M. Feser, et al.

Xradia nano-XCT 8-50S installed at NSRRC, Taiwan



Hard x-ray imaging based on glancing incidence reflective optics



- Optics behave differently at these very short wavelengths (nanometers rather than 520 nm green light)
- The refractive index is less than unity, $n = 1 \delta + i\beta$
- Waves bend away form the normal at an interface
- Absorption is significant in all materials and at all wavelength.
- Because of absorption, refractive lenses do not work, prisms do not, windows need to be extremely thin (100 nm or less).
- Because light is bent away from the surface normal, it possible to have "total external reflection" at glancing incidence – a commonly used technique.
- Kirkpatrick-Baez (KB) mirror pair

(B)



Glancing incidence optics









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Total external reflection with finite $\boldsymbol{\beta}$



Glancing incidence reflection as a function of β/δ



- finite β/δ rounds the sharp angular dependence
- cutoff angle and absorption edges can enhance the sharpness
- note the effects of oxide layers and surface contamination

... for real materials



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Normal incidence reflection at an interface



$$R_{s} = \frac{\left|\cos\phi - \sqrt{n^{2} - \sin^{2}\phi}\right|^{2}}{\left|\cos\phi + \sqrt{n^{2} - \sin^{2}\phi}\right|^{2}}$$
(3.49)

at $\phi = 0$:

$$R_{s,\perp} = \frac{|1-n|^2}{|1+n|^2} = \frac{(1-n)(1-n^*)}{(1+n)(1+n^*)}$$

For $n = 1 - \delta + i\beta$

$$R_{s,\perp} = \frac{(\delta - i\beta)(\delta + i\beta)}{(2 - \delta + i\beta)(2 - \delta - i\beta)} = \frac{\delta^2 + \beta^2}{(2 - \delta)^2 + \beta^2}$$

Reflectivity for x-ray and EUV radiation at normal incidence ($\phi = 0$):

$$R_{s,\perp} \simeq \frac{\delta^2 + \beta^2}{4} \tag{3.50}$$

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- Two crossed cylinders (or ellipses)
- Astigmatism cancels
- Common use in synchrotron radiation beamlines
- Hard x-ray microprobe

Ch03_FocusCurv_Sept2010.ai



- Sub-micron focus (to 0.1 μm recently), but scattering gives several micron "50% encircled energy"
- K-B optics have many applications to synchrotron beamlines, fusion diagnostics, etc.



X-ray microprobe at SPring-8

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A high quality Mo/Si multilayer mirror



$$N = 40$$

d = 6.7



Courtesy of Saša Bajt (LLNL)

Scattering by density variations within a multilayer coating





(T. Nguyen, CXRO/LBNL)

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Multilayer mirrors satisfy the Bragg condition

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Multilayer mirrors satisfy the Bragg condition







Ch04_MltlyrMirBragg2.ai



High reflectivity, thermally and environmentally robust multilayer coatings for high throughput EUV lithography







Atomic scattering factors for silicon (Z = 14)





⁽Henke and Gullikson; www-cxro.LBL.gov)

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Ch02ApC_Tb1F12_June2008.ai



CXRO Web Site





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- Atomic scattering factors
- EUV/x-ray properties of the elements
- Index of refraction for compound materials
- Absorption, attenuation lengths, transmission
- EUV/x-ray reflectivity (mirrors, thin films, multilayers)
- Transmission grating efficiencies
- Multilayer mirror achievements
- Other



Sputtered deposition of a multilayer coating









Multilayer coatings – "1D nanostructures"



Eric Gullikson, Farhad Salmassi, Yanwei Liu, Andy Aquila (grad), Franklin Dollar (UG)





World reference standard



World record in water window



Creating uniformity for $\lambda/50$ optics



Wide band, narrow band, and chirped mirrors for fsec applications







Near-Normal Incidence Multilayer Mirrors 80 Sc C Si Ti 70 60 Peak reflectance (%) H_2O 50 window 40 30 20 Au 10 0 10 Wavelength (nm)



Multilayer Laue Lens for focusing hard x-rays







Broad bandwidth mirrors needed for as/fs pulses



$\Delta E(eV) \cdot \Delta \tau(fs) \ge 1.8 \text{ fs} \cdot eV \text{ (FWHM)}$



- Multilayer mirrors depend on constructive interference from individual interfaces
- Higher reflectivity needs more layers
- Bandwidth gets narrower with more layers

Attosecond pulse

- \rightarrow Broad bandwidth
- \rightarrow Limited number of layers

N<10 layers required for 200 as pulse (@13nm)

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Aperiodic multilayers for asec application



Optimizing multilayers for specific applications requires the use of simulation of a multilayer stack with variations in the thickness of each material in the multilayer.





Successful design of aperiodic multilayers requires:

- 1. EM wave in multilayer structure
- 2. Optimization Algorithm
- 3. Sample preparation
- 4. Verification

A. L. Aquila, F. Salmassi, F. Dollar, Y. Liu, and E. Gullikson, "Developments in realistic design for aperiodic Mo/Si multilayer mirrors," Opt. Express 14, 10073-10078 (2006)

The Cassegrain Telescope with multilayer coatings for EUV imaging of the solar corona





(Photo courtesy of L.Golub, Harvard-Smithsonian and T. Barbee, LLNL)

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Refractive index in the soft x-ray and EUV spectral region



$$n(\omega) = 1 - \frac{1}{2} \frac{e^2 n_a}{\epsilon_0 m} \sum_s \frac{g_s}{(\omega^2 - \omega_s^2) + i\gamma\omega}$$
(3.8)

2

Noting that

$$r_e = \frac{e^2}{4\pi\epsilon_0 mc^2}$$

and that for forward scattering

$$f^{0}(\omega) = \sum_{s} \frac{g_{s}\omega^{2}}{\omega^{2} - \omega_{s}^{2} + i\gamma\omega}$$

where this has complex components

$$f^0(\omega) = f_1^0(\omega) - i f_2^0(\omega)$$

The refractive index can then be written as

$$n(\omega) = 1 - \frac{n_a r_e \lambda^2}{2\pi} \left[f_1^0(\omega) - i f_2^0(\omega) \right]$$
(3.9)

which we write in the simplified form

$$n(\omega) = 1 - \delta + i\beta$$

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(3.12)



Lectures online at www.youtube.com





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